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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

1	RECORD OF ORAL HEARING
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3	U.S. PATENT AND TRADEMARK OFFICE
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6	BEFORE THE PATENT TRIAL AND APPEAL BOARD
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9	Ex parte BEKA SOLOMON
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12	Appeal 2012-002100 & 2012-004898
13	Application 11/358,951 & 09/441,140
14	Technology Center 1600
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17	Oral Hearing Held: July 24, 2012
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20	Before DONALD E. ADAMS, DEMETRA J. MILLS, and
21	JEFFREY N. FREDMAN, Administrative Patent Judges.
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23	APPEARANCES:
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25	ON BEHALF OF THE APPELLANT:
26	
27	ROGER L. BROWDY, ATTORNEY AT LAW
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31	
32	The above-entitled matter came on for hearing on Tuesday, July
33	24, 2012, commencing at 9:00 a.m., at the U.S. Patent and Trademark

1 Office, 600 Dulany Street, Alexandria, Virginia, before a Notary Public. 2 3 PROCEEDINGS 4 USHER: Calendar Number 7, Appeal Number 2012-5 2100, Mr. Browdy. 6 7 MR. BROWDY: Thank you. JUDGE ADAMS: Good morning, Mr. Browdy. 8 MR. BROWDY: Good morning. 9 10 JUDGE ADAMS: How are you? MR. BROWDY: Good. 11 JUDGE ADAMS: All right. We're familiar with your record. 12 13 You're going to handle both of these cases together? 14 MR. BROWDY: Yes. And if it pleases the court, I would like 15 to kind of intermix and intertwine and not go in order one case and then the other because the issues are so --16 JUDGE ADAMS: Okav. I don't know what that's going to do 17 with our transcript, but we'll work it out. 18 MR. BROWDY: Okay. Ah well. 19 JUDGE ADAMS: That's fine. 20 MR. BROWDY: Same transcript for both cases, I would 21 22 suggest.

1 JUDGE ADAMS: Okay. MR. BROWDY: This is Shulamit Hirsh, is the head of the 2 patent department at RAMOT at Tel Aviv University, which is the 3 4 licensed [unintelligible] of this case. They're the licensing arm of Tel Aviv University. 5 So, the present invention relates to the discovery that antibodies 6 can be directed to a certain place on a aggregating protein that will 7 prevent the aggregation of that protein. Call it a chaperone in our 8 specification. It will -- or it will, when binds to the plaque or the 9 10 aggregated protein that's already formed, it will cause it to disaggregate. The discovery that such antibodies exist that can 11 actually bind to a place on the protein that will prevent its aggregation 12 is the basis of the invention. Turns out that some of the antibodies 13 that we found worked for this purpose are known antibodies. In fact, 14 15 our specification uses antibodies that we bought that were preexisting antibodies, and we discovered that among the antibodies that were 16 tested was one that worked and establishing proof of concept. The 17 specification explains how you can raise antibodies against a beta. 18 particularly 1 to 28 is the one that they found would work, which is 19 several [unintelligible] doses that brought piece of the protein, and 20 screen for those that bind to a beta, and screen of those for the ones 21

that will prevent aggregation or cause disaggregation.

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1 JUDGE ADAMS: So, how does your specification get us to an antibody that binds to an extent at least as great --2 MR. BROWDY: I'm sorry? 3 JUDGE ADAMS: How do we get from your specification to 4 5 an antibody that binds to an extent at least as great or gives results or inhibits aggregation to an extent at least as great as that obtainable 6 with antibody AMY33 [spelled phonetically], the subject of the 7 examiner, I believe, his written description rejections. 8 MR. BROWDY: That's correct. In my answer to that question, 9 10 may I put up this --JUDGE ADAMS: Oh, please. 11 MR. BROWDY: -- demo to demonstrate --12 13 JUDGE ADAMS: We have the easel all set up for you, so. 14 MR. BROWDY: Have the easel, might as well use it. JUDGE ADAMS: Exactly. 15 MR. BROWDY: [unintelligible] excuse me. This is just to 16 help to understand the rejections and the way the claims are worded in 17 how the -- how they relate to the various claims. So, there's two 18 19 columns going up and down, and there's two rows going across. The two columns. A and B, are the claims that have -- that the antibody --20 defines the antibody as binding A beta and inhibiting aggregation of A 21 22 beta or maintain the solubility of soluble A beta to extent at least as

1 great as that obtainable with AMY33. That's the -- those claims there are directed to your question. The B claims, you can see below I have 2 listed the -- only the independent claims. So, 219, 222, and 224 in the 3 4 first reissue, and Claim 17 in the second reissue, and then the same --5 those claims below, those are just binds A beta and disaggregates an aggregated A beta. Those claims do not have that language about at 6 least as great as that obtainable with AMY33. Those are only on the 7 inhibiting aggregation claims. 8 And then on the I -- and II on the left -- we have a set of claims 9 10 obtainable, the antibodies obtainable using an immunogen consisting of a peptide, consisting of residues 1 through 28 of A beta. The other 11 set of claims says that the antibody recognizes an epitope within 12 residues 1 to 28 of A beta, avoiding the obtainable language. No 13 14 objection to written description for there. And, so, these are -- so we 15 have four sets of claims, four sets of independent claims. I just wanted you to understand how those independent claims relate to the -16 17 JUDGE ADAMS: Written description. 18 19 MR. BROWDY: -- relate to these four features. So, if you'll look down at B2 in the lower right hand corner, these are the claims --20 Claim 225, 228, and 35 of the divisional, these are the claims that bind 21 A beta and disaggregate an aggregate of A beta, and the -- recognizes 22

1 an Epitope within residues 1 through 28 of A beta. Those claims, in the first divisional -- first reissue, have only one rejection, 225 has 2 only one rejection, the prior art rejection of Walker. And, in fact, 228 3 has no rejection. 4 JUDGE ADAMS: Okay. Without walking us through all the 5 different rejections, let's just talk about Column A there, and tell me 6 back to my original question where we have written description to 7 that. Thank you, though. 8 9 MR. BROWDY: It's not new matter, but it's not -- it's new 10 matter and a written description. JUDGE ADAMS: They're kind of tied together. 11 MR. BROWDY: The new matter rejection. It's not new matter 12 because the -- because as long as the specification inherently or 13 implicitly supports the language of the claim, then that's considered to 14 15 not be a new matter, according to the written description guidelines. I believe that the -- that this concept of the AMY33 and above is 16 inherently or inherently or explicitly disclosed in the specification as 17 filed, because in the specification as filed, before we put in that 18 statement that's higher than obtainable with AMY33, we covered 19 every degree of inhibition of aggregation from just above negligible to 20 total inhibition, all right? Now, within that range, we had two 21 22 examples. We had the 6F3D [spelled phonetically] antibody, which

1 was lousy and just a teeny bit of inhibition, and we had the AMY33 that had good inhibition, but it wasn't 100 percent inhibition, all right? 2 So, effectively, we started off with a range, going just above 3 negligible to the highest you could possibly have, and we had a point 4 5 in that range, which was AMY33. JUDGE FREDMAN: Where's the range discussed in the spec? 6 MR. BROWDY: Where is it discussed in the spec? 7 JUDGE FREDMAN: Yeah. 8 MR. BROWDY: It's -- the range -- it's not discussed, it's not 9 determed [sic] a range in the spec. The spec supported by the claims 10 is originally filed, and we objected to a new matter or written 11 description, which said that I'm claiming any antibody that inhibits 12 aggregation, and I had the two examples, one of which I said was so 13 14 little aggregation that that's not part of the invention, and the other one 15 was AMY33. So, we have -- and if you look at the spec --16 JUDGE FREDMAN: I mean, because, essentially, Ariad just 17 told us that what's obvious is not necessarily described, right? 18 19 MR. BROWDY: That's correct. JUDGE FREDMAN: So, it may be obvious that the range 20 should be higher than the AMY33, but I'm not sure that it's totally 21 22 described that you want a range higher than AMY33.

1 MR. BROWDY: Yeah. There's no question that -- I'm not taking the position that just because it's obvious it's -- you've gotten a 2 description. I'm taking the position that -- I mean, there are range 3 cases, which I cited in the brief -- I think it's a little bit of stressed talk 4 5 about those range cases, but --JUDGE FREDMAN: They're not clear. 6 MR. BROWDY: -- they are examples that if you have a certain 7 range, you have a point within the range, and you have a new 8 description from that point to the rest of the range. So, although I 9 10 don't have a 35 to 65. I have a 2 to 100, and then I have something in the middle, maybe 70. And, so, I'm saying 70 to 100 is implicitly 11 disclosed. 12 JUDGE ADAMS: But here we're talking about antibodies. 13 14 MR. BROWDY: Yes, sir. 15 JUDGE ADAMS: And you have a disclosure of an antibody AMY33. It's not absolute, but it's sort of in the topper tier, the upper 16 tier, right? And now you're talking about --17 MR. BROWDY: No. it's not, it's not --18 19 JUDGE ADAMS: Okav. MR. BROWDY: Between you and me -- [inaudible]. 20 JUDGE ADAMS: Now we're talking about something from 21 22 AMY33 and above, and we're talking about antibodies. So, where

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     have you described those antibodies?
           MR. BROWDY: Because we describe it that you --
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           JUDGE ADAMS: I mean, for all I know --
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           MR. BROWDY: -- [unintelligible] or you can raise an antibody
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           JUDGE ADAMS: For all I know, I'll never ever get any
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     antibody better than or even the same as AMY33. That might be the
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 8
     best that you can ever get. That's like the magic bullet antibody, as far
     as I know, from your disclosure, unless you can tell me otherwise.
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           JUDGE FREDMAN: This is a straight description issue we're
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     talking about, and not the new matter.
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           MR. BROWDY: Okay. On the written description, I think that
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     -- I feel much more comfortable. The -- and, in fact, I found a very
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     recent board decision, non-precedential, but I think that it's instructive,
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     and it is ex parte Gately, G-A-T-E-L-Y, Appeal Number 2011-
     003784, Application number 10-267565. APJs Scheiner, Prats, and
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     Walsh [spelled phonetically]. And here's a claim where they had --
     where the claim was to an antibody, an isolated monoclonal antibody,
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     which binds with a 40KDA KLMF [spelled phonetically], just like our
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     binds say A beta, wherein said [unintelligible] antibody is capable of
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     blocking CLMF-induced proliferation of lymphocytes of a particular
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     cell. And the specification showed antibodies to the 40KDA subunit
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1 of CLMF, some of which had the property of blocking induced proliferation, and some of which didn't. And it was a written 2 description rejection. 3 JUDGE FREDMAN: But how many species were there that 4 5 actually worked? MR. BROWDY: Three. 6 7 JUDGE FREDMAN: Okav. So, there were more. MR. BROWDY: Yeah. There were more than one. 8 JUDGE FREDMAN: Okav. 9 MR. BROWDY: But --10 JUDGE FREDMAN: Because one and more than one is --11 MR. BROWDY: [inaudible] actually worked it in where he 12 wants. It was zero. 13 14 JUDGE FREDMAN: But that was [unintelligible]. Wands 15 [spelled phonetically] is an enablement case, not description. MR. BROWDY: That's true. The --16 JUDGE FREDMAN: We're not arguing the enablement -- the 17 examiner didn't even make an enablement rejection here, reasonably 18 19 [unintelligible]. MR. BROWDY: Right. But they found it very significant here 20 that Wands [spelled phonetically] says that one uses a screen. You 21

don't need to have a description of CDRs [spelled phonetically] with

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1 the antibody or structure, this and that. You can [unintelligible]. JUDGE FREDMAN: We're not really challenging enablement. 2 This is a description. 3 4 MR. BROWDY: No. This is a written description. 5 JUDGE FREDMAN: No, I understand, but they have more species, so they had -- they knew that you could make more. 6 MR. BROWDY: But the written description guidelines have 7 lots of examples where there's like one species which claim 95 percent 8 9 or more, and you have -- all you have is the [unintelligible] --10 JUDGE ADAMS: Let me just --MR. BROWDY: -- where one is sufficient to satisfy the --11 JUDGE ADAMS: Let me just cut through that case and say it's 12 non-precedential and the facts are different, all right? 13 14 So, getting to your case, what is it in your specification that 15 supports that range, or an antibody, or discloses an antibody that's 16 AMY33, at least as good as or better? MR. BROWDY: Because my specification says that you take a 17 hybrid [unintelligible], you raise them against A beta, and you stream, 18 19 and you disclose the assay that determines the amount of inhibition. JUDGE ADAMS: So, what's the expectation that I'll ever get --20 MR. BROWDY: [inaudible] past comparison, comparative 21 22 assay ---

1 JUDGE ADAMS: So, this gets back to my magic bullet. AMY33 is the best you'll ever get. Where's the disclosure in the 2 specification that suggests to anyone that you might get better, and 3 4 describe that antibody? MR. BROWDY: Number one, there's no reason to believe that 5 because an antibody technology, you always find a range of activity 6 from near hybrid [unintelligible]. And to believe that the one that we 7 happened to mention, that there's impossible to get anything higher, is, 8 number one, is a stretch, and number two, and if it were true, you 9 10 know, so be it. Then all I can claim is AMY33, and nobody's ever going to infringe with anything higher. 11 JUDGE ADAMS: Okay. 12 MR. BROWDY: And that doesn't mean that there's lack of 13 14 written description. 15 JUDGE ADAMS: So, I think what you're saying is other than generic hybrodomic [spelled phonetically] technology, there's no other 16 disclosure of any antibody that has the activity of your claim? 17 MR. BROWDY: There's no other --18 JUDGE ADAMS: Specific disclosure of any antibody that 19 meets the requirement of your claim? 20 MR. BROWDY: No. sir. 21 JUDGE ADAMS: Okay. So, do we want to talk about the 22

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prior art?
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           MR. BROWDY: Sure.
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           JUDGE ADAMS: Okay. And I -- just as you're -- as we're
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    segueing, what is it that you mean by genetically engineered antibody
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    in, for example, Claim 210 of the 4898 appeal?
           MR. BROWDY: Right. This --
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           JUDGE FREDMAN: Is that the [unintelligible]?
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           JUDGE ADAMS: is it just a process --
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           MR. BROWDY: Yes, it's the [unintelligible].
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           JUDGE ADAMS: Is it just a process limitation of this
    composition?
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           MR. BROWDY: The -- it is a -- there's a reference at -- if you
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    look at Column 6, Lines 7 to 14, it talks about a method of treating a
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14
    protein aggregation disease with [unintelligible] steps of preparing
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    citing this Haber [spelled phonetically] paper, or selecting an
    antiaggregation molecule, such as the monoclonal antibody,
16
    genetically engineered monoclonal antibody fragment, or peptide.
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    The Haber paper goes into great detail about how to make genetically
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19
    engineered monoclonal antibodies, such as single chain antibody,
    humanized antibody, that type of thing. But, the claim specifies that
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    you have to start with an antibody that has some properties. You can
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    genetically engineer it in whatever way that you want to, and it must
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1 retain the properties when you finish. So, that's all in the claim. And the --2 JUDGE FREDMAN: See, the problem here for me, and -- is 3 that if I treat genetically engineered as a product by process limitation, right? So, I say that, well, all that really means is we've done 5 something to obtain an antibody somehow, right? And I say it 6 [unintelligible] AMY33, right, and I look at Walker, and Walker's got 7 this 10D5 fragment, right? So, he's got an FAB [spelled phonetically] 8 fragment of 10D5. Well, that 10D5 fragment, we know from the 9 10 [unintelligible] art essentially inherently is better than AMY33, so it meets that requirement. It's, I mean it could have been obtained by 11 genetically engineering it, I suppose. It -- you could certainly create 12 an expression vector and express 10D5, and it, you know, all the 13 functional limitations it's going to meet. So, why is that Walker not 14 15 sort of made -- rendered this obvious? MR. BROWDY: Because the -- because, number one, it --16 Walker does not teach -- the only therapeutic use that Walker teaches 17 18 JUDGE FREDMAN: No, no. But this is for the product 19 claims, not the method claims. 20 MR. BROWDY: Okay. But, the product claim, the --21 JUDGE FREDMAN: Therapeutic is intended use. That's not --22

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          MR. BROWDY: Yeah.
          JUDGE FREDMAN: Okay.
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          MR. BROWDY: We don't -- okay. We don't have an
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    anticipation. We have an obviousness rejection.
          JUDGE FREDMAN: But the anticipation is the epitome of --
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          MR. BROWDY: [unintelligible] modification case of
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    obviousness, we are going to get results that are totally unexpected
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    and will blow you out of the water. Because, if you use this antibody
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9
    of Walker in the way that Walker says you use it, you're going to get -
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    - you have one expectation, and when you discover that this antibody
    causes disaggregation of the plaque, you're going to be shocked. This
11
    is unexpected results because we've discovered a property of this
12
    antibody. Walker teaches -- and it's a property. This aggregates
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14
    plaque.
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          JUDGE ADAMS: Okav. Let's move --
          MR. BROWDY: And so therefore the --
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          JUDGE ADAMS: Let's move back to the composition.
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          MR. BROWDY: I'm sorry?
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          JUDGE ADAMS: We're talking about the method claims.
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    Let's talk about the composition claims.
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          MR. BROWDY: No. I'm talking about Inray of --
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          JUDGE ADAMS: No? No?
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1 MR. BROWDY: Case it says that chemical compounds and properties are all together when you're determining the obviousness of 2 a chemical compound, and our antibody, this composition, the 3 4 antibody use in our composition has certain properties, and some of 5 those properties are unexpected [unintelligible] unexpected. JUDGE ADAMS: Well, Walker has an antibody that has those 6 same properties? 7 MR. BROWDY: Huh? Nobody knew it had those properties. 8 JUDGE ADAMS: It's implicit. You just told me there's case 9 10 law that supports a compound and its properties all go together, right? JUDGE FREDMAN: They're inseparable. 11 MR. BROWDY: The case law --12 JUDGE ADAMS: They're inseparable. 13 14 MR. BROWDY: The case law says Inray --JUDGE ADAMS: Package? 15 MR. BROWDY: -- May says that this thing has properties In re 16 May [spelled phonetically]. You had an adjacent homologue, and 17 both the anticipated compound and the prima facie obvious compound 18 19 were known to be analgesics. They discovered not only is it analgesic, but they are non-addictive, and it was determined that this 20 property of non-addictive on the homologue -- which, by the way, was 21 22 also unknown but possessed by the main compound -- is sufficient to

1 establish unexpected results and rebut a prima facie case of obviousness for the adjacent homologue. And May says you have a 2 test of laying the important -- you have --3 JUDGE FREDMAN: Well, what if we say it's anticipated, and 4 5 this is the problem -- this is the problem. The concern is really that it's anticipated, not that it's obvious. 6 7 MR. BROWDY: There's no anticipation --JUDGE FREDMAN: I know it's not made, but that's our 8 concern. 9 MR. BROWDY: We don't have no --10 JUDGE FREDMAN: I understand that. We can -- there's 11 4150B that we could do it new grounds. 12 MR. BROWDY: How is there -- you admitted that there's no 13 14 genetic engineering. You said it could be. 15 JUDGE FREDMAN: No, no. I said that's product by process, but if I treat it as a product by process limitation, then the Walker and 16 17 the fragment meets the claim. Okay. We can move on. That's not a rejection-related issue 18 19 now. MR. BROWDY: Okav. 20 JUDGE ADAMS: You were talking about the weighing of 21

evidence with regard to In re May.

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1 MR. BROWDY: Yeah. And that -- if there is a reason to make a change, and then there is something unexpected you find and you 2 make that change, then you waive the relative importance of the -- of 3 4 your disclosed reason for making the change with the reason that 5 you've discovered. And, of the more important one, i.e. in May, the fact that --6 7 JUDGE ADAMS: So, that would be a change between one homologue to its adjacent homologue, right? 8 MR. BROWDY: That's expect -- you would expect that it 9 10 would have the analgesic property of the main compound. JUDGE ADAMS: Okay. 11 MR. BROWDY: [unintelligible] not addictiveness outweigh 12 that expected result. 13 14 JUDGE ADAMS: And here I am, an antibody taught by the 15 prior art that, for all extensive purposes, meets the limitation of your 16 claim. It just doesn't say that it has any effect on aggregation. MR. BROWDY: Right. And the compound claim in Inray 17 May didn't say anything about it being non-addictive. That's a 18 19 compound claim. Now, the property and the compound are all part of the same thing. 20 JUDGE FREDMAN: Now this already would apply it as well 21 to the combination of Walker and, say, Becker [spelled phonetically], 22

1 which is probably the best, 103, over the method claims, I mean. MR. BROWDY: Becker, you know, Roy Becker, Becker says 2 use an antibody that's specific to the beta sheet form of the amyloid, 3 4 and D5 isn't specific to the intent to that, and D5 binds to the, to both 5 forms. It is not an antibody of Becker. How does Becker and Walker together make it obvious to -- that Walker is going to have therapeutic 6 properties, specifically? 7 JUDGE FREDMAN: Or just aggregation or prevention of 8 aggregation properties. MR. BROWDY: Yeah. 10 JUDGE FREDMAN: Okay. 11 MR. BROWDY: There's no suggestion of that, because it's not 12 an antibody of Becker. Becker doesn't have any antibodies. Becker's 13 14 not enabling. But, aside from that, what it does teach is that you 15 would like to find an antibody that's specific to the beta sheet and doesn't bind to the other one, and yet 10D5 finds both, and AMY33. 16 which is the Fickle [spelled phonetically] reference, also binds to non-17 aggregated. It binds to the [unintelligible] form. So, it's -- neither of 18 those are antibodies of Becker. Becker doesn't really add anything. 19 JUDGE FREDMAN: Right. 20 JUDGE ADAMS: Anything else you want to say about the 21 22 prior art rejection?

1 MR. BROWDY: Yes. Let me just see. Let me -- we talked about Becker. We talked about Walker. We talked a little bit about 2 Fickle. I think that -- unless you have any other question on the prior 3 4 art --JUDGE ADAMS: We're done with the prior art. 5 JUDGE MILLS: I have one more question regarding Walker. 6 Why would the principles of In re Best [spelled phonetically] not 7 8 apply to the Walker antibody, and why is it not now appellant's burden to show that it doesn't have the disaggregating properties? MR. BROWDY: Okay. You're going to have to help me out 10 with the Inray Best --11 JUDGE MILLS: Best says that the patent office doesn't 12 have the ability to test the antibodies, and if the examiner shows that 13 14 the antibodies or the same or substantially the same, it's now 15 appellant's burden to show that they --JUDGE ADAMS: Inherency argument. 16 MR. BROWDY: Yeah. 17 JUDGE MILLS: Yeah. 18 JUDGE ADAMS: Inherency argument. 19 MR. BROWDY: The patent office has to have some reason to 20 make us go through this task. I mean, Marziachi [spelled 21 22 phonetically], you have to -- the examiner has the burden to show

1 reasons why it would be expected, or you -- that even that it's possible, it would be reasonable to assume that it's going to have these 2 properties, and that end, you'd shift the burden to me to show it 3 doesn't have those properties. All that Walker says is that it binds to 4 5 plague, and it's unreasonable to say that anything that binds the plague would be expected to disaggregate the plaque. That's just not -- that's 6 -- we discovered specific antibodies that do. More recent information 7 that we have provided you in this table that there are a lot of 8 antibodies that bind to plaque that don't disaggregate it. If it would be 9 10 expected that binding the plaque across disaggregation of the plaque. you don't think that Walker would have said, "I found something that 11 bound to plaque! I can cure Alzheimer's disease!" No. he didn't say 12 that because that's not -- that wasn't expected at the time of the present 13 14 invention. All that he'd expect is that it binds. 15 So, I don't think that the Patent Office has provided enough of a reason to expect that the 10D5 antibody of Walker without knowledge 16 of any of the post filing date publications would be expected to 17 disaggregate -- prevent aggregation. 18 JUDGE MILLS: Okay. Very good. Thank you. 19 JUDGE ADAMS: I think we concluded that we're done with 20 the prior art issue. 21 JUDGE MILLS: Yeah.

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1 JUDGE ADAMS: We're done with the new matter and written description, so that pretty much takes care of the 4898 case as far as I 2 understand, is that right? 3 MR. BROWDY: That's correct. 4 JUDGE ADAMS: So now we have one remaining issue left in 5 the 2100 case. 6 7 MR. BROWDY: Right. JUDGE ADAMS: Go. 8 MR. BROWDY: I wanted to -- most of the briefing went to the 9 question of Orita [spelled phonetically] and Doyle [spelled 10 phonetically], and what kind of changes to the claims are sufficient to 11 take it out of the Orita situation. 12 JUDGE FREDMAN: So, let me just set the background on 13 14 that. It looks like the way it's set up, the original restriction had three 15 grips -- the method of screening, and two treatment methods. And then you elected and you elected the method of screening, at which 16 point the prosecution continued, and I guess eventually went on. And 17 then you filed the reissue, then you filed a very broad claim for 18 19 treating, which then the examiner restricted again, essentially into a very narrow claim for treating. But in all -- in either case, they were 20 both treating methods that would have fallen into groups two, three, or 21 22 maybe four if we created. Who knows what the original examiner

1 would have done. MR. BROWDY: Only group four [unintelligible] examiner --2 JUDGE FREDMAN: No, no -- I want to make it --3 MR. BROWDY: Claim 24. JUDGE FREDMAN: No, no, no. I know the examiner pointed 5 to Claim 24, but even if we said it fell a degree of two, which would 6 be 7 through 23 or something. I think, it still would have been a 7 treating claim. Those two groups are both treating groups. They're 8 different kinds of treatment. One had the vector and all that business. 10 But --MR. BROWDY: Different kind of treatments, or different 11 matches? 12 JUDGE FREDMAN: Yeah, yeah, right. But, so, your new 13 14 claims all fall into one of the other two groups, not into group one, the 15 elected group. Is that fair, or am I --MR. BROWDY: Let's --16 JUDGE FREDMAN: Because Dovle's a pretty good situation 17 where the --18 19 MR. BROWDY: I disagree, but to let me follow your thought, let's concede it. I think that indeed when the examiner said that every 20 single species is patent and distinct from every other one, then I think 21 22 that my species that I find the elect is also patently distinct from the

1 genus. But, I want to go and follow the rest of your thoughts. JUDGE FREDMAN: Okay. 2 MR. BROWDY: Let's take the position that is the same 3 invention. Is that sufficient under Orita, and under case in the last 4 year at the federal circuit, it confirms what Doyle says that the issue is 5 not is it the same invention, would it have been restricted if it had 6 been presented? The issue is are the claims substantially the same? 7 Because Dovle says that the -- that 251 is broadly applicable, and that 8 Orita carved out something from the broad applicability of 251, which 9 10 is supposed to be remedial and generously interpreted. 251 and --Orita created an exception for claims that are substantially identical to 11 the claims that you had, and you could have filed -- that you could 12 have filed [unintelligible] but you didn't. And Doyle agrees and said 13 14 that these claims were not substantially identical to previously non-15 elected claims, and therefore 251 applies, but the Orita doctrine doesn't. And the examiner quibbled about, "Oh, well, there was two 16 17 grounds in Dovle, and so therefore this was dicta." Well, here's another case, April 23, 2012, from the federal circuit. It's Landmark 18 Streams L.L.C. [spelled phonetically] versus Morgan Lewis and 19 Bockius L.LP. [spelled phonetically], 676F3rd 1354 [spelled 20 phoneticallyl, 102 USPO second. 21 JUDGE FREDMAN: Can you read the number again, 676F 22

1 third -- what's the last? MR. BROWDY: 676F third, 1354. 2 JUDGE FREDMAN: Thank you. 3 MR. BROWDY: We don't use the PO anymore. 5 JUDGE FREDMAN: All right. MR. BROWDY: In this case, they filed it -- they had a 6 restriction requirement with the original prosecution, and they filed a 7 divisional, but they screwed up and they left out drawings and pages. 8 and by the time they fixed it, a year had passed from the publications, 9 10 so they couldn't prosecute the divisional. So, they filed claims in the reissue, and the -- and this kind of a malpractice case, but that's 11 another issue. The federal circuit said, "Our precedent provides that 12 the reissue statute may not be used to grant patent protection for 13 substantially identical claims that were not properly prosecuted in 14 15 original applications. Our precedent -- "citing in Orita -- "Our precedent also recognizes that the reissue statute is properly invoked 16 when the new claims in the reissue application are not substantially 17 identical to previously non-elected claims. In re Doyle," excepting 18 what I consider to be a valid holding of In re Doyle. And then they 19 said that the landmark reissue application did not present substantially 20 identical claims to the claims of the failed 916 divisional application 21 22 and consequently satisfied the requirements of section 251. It was

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- 1 clearly the same invention, except it had a very different scope. And
- that's what we have. And In re Orita doesn't apply.
- 3 JUDGE ADAMS: That's all you got?
- 4 MR. BROWDY: That's my story, and I'm sticking to it.
- 5 JUDGE ADAMS: All right. Any questions?
- 6 JUDGE MILLS: No questions.
- 7 JUDGE ADAMS: Questions?
- 8 JUDGE FREDMAN: No more.
- 9 JUDGE ADAMS: Anything else for you?
- 10 MR. BROWDY: Thank you very much.
- 11 JUDGE ADAMS: Pleasure.
- (Whereupon, the proceedings at 9:55 a.m. were concluded.)